



PATENT  
Our Docket: P-IX 2947

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:  
Watkins and Huse

Serial No.: 09/203,768

Filed: December 2, 1998

For: TUMOR SPECIFIC HUMAN  
MONOCLONAL ANTIBODIES AND  
METHODS OF USE

Group Art Unit: 1648

Examiner: Not Yet Known

I hereby certify that this correspondence is  
being deposited with the United States  
Postal Service as first class mail in an  
envelope addressed to: Assistant  
Commissioner for Patents, Washington,  
D.C. 20231, on March 3, 1999.

By   
David A. Gay, Reg. No. 39,200

March 3, 1999  
Date of Signature

ASST. COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
Attention: BOX MISSING PARTS

RESPONSE TO NOTICE TO FILE MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts mailed  
January 4, 1999, enclosed are:

- X 1. A copy of the Notice to File Missing Parts.
- X 2. An executed Declaration and Power of Attorney for  
Patent Application in the above-identified U.S. Patent  
Application.
- X 3. A Recordation Form Cover Sheet and an executed  
Assignment for the above-identified U.S. Patent  
Application.
- X 4. An executed Verified Statement (Declaration) Claiming  
Small Entity Status.
- \_\_\_ 5. An executed Power of Attorney for Patent Application by  
Assignee.

The filing fee has been calculated as shown below:

	NUMBER FILED		NUMBER EXTRA		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTIT Y
TOTAL CLAIMS	46 - 20 =		26	x	\$9	\$18	= \$234.00	\$
INDEPEN- DENT CLAIMS	14 - 3 =		11	x	\$39	\$78	= \$429.00	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED: ___ YES <u>X</u> NO					\$130	\$260	= \$0	\$
					BASIC FEE		\$380.00	\$760
					TOTAL FEE		\$1043.00	

- X 6. A check in the amount of \$1,148.00 to cover the filing fee of  
\$1,043.00, the surcharge fee of \$65.00, and \$40.00 for recording  
an Assignment for the application is enclosed.
- X The Commissioner is hereby authorized to charge payment of the  
following fees associated with this communication or credit any  
overpayment to Deposit Account No. 03-0370. A duplicate copy of this  
sheet is enclosed.
- X Any additional filing fees required under 37 C.F.R. 1.16.
- X Any patent application processing fees under 37 C.F.R. 1.17.

Inventors: Watkins and Huse  
Serial No.: 09/203,768  
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X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted

Date: March 3, 1999

By: 

David A. Gay  
Reg. No. 39,200

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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/203,768 12/02/98 WATKINS J P-IX-2947

023270104

DAVID A GAY  
CAMPBELL AND FLORES  
4370 LA JOLLA VILLAGE DRIVE  
SUITE 700  
SAN DIEGO CA 92122

NOT ASSIGNED

1648

DATE MAILED:

01/04/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 2210

☒ 1. The statutory basic filing fee is:

- ☒ missing  
☐ insufficient

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 1326, including any multiple dependent claim fees, are required.

\$ 858 for 11 independent claims over 3.  
\$ 468 for 26 dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the named inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(f)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules-37 CFR 1.821-1.825"

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

Initial Patent Examination Division (703) 308-1202

12/1999 CUMRCHM 00000072 09203728  
C:205  
C:201  
C:202  
C:203

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